

02-14-02

A

UTILITY PATENT APPLICATION TRANSMITTAL
(for Noncontinuing, Nonprovisional Applications
under 37 C.F.R. §1.53(b))

Attorney Docket No. 72978

10/07/02
10/07/02
02/13/02

Box PATENT APPLICATION
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Commissioner of Patents and Trademarks, Washington,
D.C. 20231.

Sir:

Transmitted herewith for filing under 37 C.F.R.
§1.53(b) is the nonprovisional, noncontinuing
patent application for:

Title: A Bi-Directional Dual Promoter Complex
with Enhanced Promoter Activity for
Transgene Expression in Eukaryotes

(Typed or printed name of person mailing)

JOHNNY HAYNES
Johnny Haynes
(Signature of person mailing)

First Named Inventor or Application Identifier:
Li et al.

- ☒ 37 pages of the specification (including claims) are enclosed.
- ☒ 32 sheet(s) of drawings are enclosed. ☐ Formal ☒ Informal - FIGS. 1-27.
- ☐ An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
- ☒ The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
- ☐ An Assignment of the invention to _____, and cover sheet are enclosed.
- ☐ A check in the amount of \$ _____ to cover the fee for recording the assignment is enclosed.
- ☐ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.
- ☐ A 37 C.F.R. §3.73(b) statement is enclosed where an assignee seeks to take action.
- ☐ An Information Disclosure Statement is enclosed.
- ☐ A Form PTO-1449 is enclosed.
- ☐ _____ References (copies) listed on the Form PTO-1449 are enclosed.
- ☒ A Return Receipt Postcard is enclosed (MPEP §503).

- ☒ Priority of application number 60/268,358 filed on 02/13/01 in U.S.A. is claimed under 35 U.S.C. §119.
- ☐ A certified copy of the priority document is enclosed.
- ☐ A Computer Program Listing Appendix is enclosed.
- ☐ A Transmittal Cover Letter for Computer Program Listing Appendix is enclosed.
- ☐ Two (2) Compact Discs are enclosed.
- ☐ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
- ☐ A Computer Readable Copy is enclosed.
- ☐ A Paper Copy (Identical to Computer Copy) is enclosed.
- ☐ A Statement Verifying Identity of above Copies is enclosed.
- ☒ The filing fee is calculated below:

Fee Calculation For Claims As Filed

Basic Fee						\$	740.00
Independent Claims	<u>7</u>	-	<u>3</u>	=	<u>4</u>	x \$	84.00 = \$ 336.00
Total Claims	<u>23</u>	-	<u>20</u>	=	<u>3</u>	x \$	18.00 = \$ 54.00
Fee for Multiply Dependent Claims						\$	280.00
						\$	0.00
Total Filing Fee							DEFER

- ☐ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing Fee by half to: _____ \$
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☐ Charge \$_____ to Deposit Account No. 06-1135.
- ☒ The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.
- ☒ A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

☐ Also enclosed:

☒ Address all future communications to Customer Number 22242.



02/13/02

Date

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